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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: May 13, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **Hideo UCHIZONO**
Seiji ABE

Appln. No.: **09/786,560**

Filed: **March 7, 2001**

For: **ELECTRONIC DEVICE WITH USB**
INTERFACE

Examiner: **CLEARY, Thomas J.**

Art Unit: **2111**

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MAY 20 2004

Technology Center 2100

Attorney Docket No: **9683/81**

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ [Fourth Supplemental Information Disclosure Statement (in dup.), Copy of Canadian Office Action, Form PTO-1449, 1 Cited Reference]
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim									
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

May 13, 2004
Date

Tadashi Horie
Tadashi Horie (Reg. No. 40,437)



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May 13, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or
Registered Representative

Signature

May 13, 2004

Date of Signature

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MAY 20 2004

Technology Center 2100

Our Case No.: **9683/81**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hideo UCHIZONO
Seiji ABE

Serial No.: 09/786,560

Filing Date: March 7, 2001

For: ELECTRONIC DEVICE WITH USB
INTERFACE

Examiner: CLEARY, Thomas J.

Group Art Unit No.: 2111

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR 1.97(C)

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Fourth Supplemental Information Disclosure Statement be entered and the document listed on the attached Form PTO-1449 be considered by the

Examiner and made of record. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

Prior art reference E1 was cited in an Office Action issued in a counterpart Canadian patent application. A copy of the Office Action is also enclosed with this Statement.

This Statement is filed in accordance with 37 C.F.R. § 1.97(c)(1) after the mailing date of a first action on the merits, but before the mailing date of either a Final Action or a Notice of Allowance. As noted on the first page of the enclosed Canadian Office Action, it was mailed to Applicants on March 3, 2004. The undersigned attorney therefore certifies that each item of information contained in the statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. No fees are thus believed to be due in connection with filing of this Fourth Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(g),(h), this Fourth Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Tadashi Horie", is written over a horizontal line.

Tadashi Horie
Registration No. 40,437
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



FORM PTH-1449	SERIAL NO. 09/786,560	CASE NO. 9683/81
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE March 7, 2001	GROUP ART UNIT 2111
(use several sheets if necessary)	APPLICANT(S): Hideo UCHIZONO et al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	E1	5,841,424	11/24/1998	Kikinis		
	E					
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	E					
	E					
	E					
	E					
	E					

EXAMINER
INITIAL

OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.